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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Edwards, et al.
 Application No./Patent No.: 09/663,600 Filed/Issue Date: 09/15/2000
 Entitled: Extended cDNAs for Secreted Proteins
Genset, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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[] Additional documents in the chain of title are listed on a supplemental sheet.

[] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6 Sept 01
 Date

John Lucas, Ph.D., J.D.

Typed or printed name

Signature

Director, Intellectual Property

Title

**RECORDATION FORM COVER SHEET
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Conveying Party(ies)☒ **Mark if additional names of conveying parties attached** Execution Date
Month Day Year

Name (line 1) Jean-Baptiste Dumas-Milne Edwards 03/16/2001

Name (line 2)

Second Party

Name (line 1) Lydie Bougueleret Execution Date
Month Day Year
01/29/2001

Name (line 2)

Receiving Party☐ **Mark if additional names of receiving parties attached**

Name (line 1) GENSET, S.A. ☐ **If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
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representative is attached.
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separate document from
Assignment)**

Name (line 2) a Corporation of France

Address (line 1) 24, rue Royale

Address (line 2)

Address (line 3) Paris France 75008
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name John Lucas, Ph.D., J.D.

Address (line 1) GENSET CORPORATION

Address (line 2) 10665 Sorrento Valley Road

Address (line 3) San Diego, CA 92121-1609

Address (line 4)

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number

1-858-597-2600

Name

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Pages

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Application Number(s) or Patent Number(s)

☐

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/663,600

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John Lucas, Ph.D.

Name of Person Signing

Signature

Date

ASSIGNMENT

WHEREAS, I, Jean-Baptiste Dumas, Milne Edwards, a French citizen, residing at 8., rue, gregoire, de, Tours, 75006, Paris, FRANCE, am a co-inventor of certain new and useful improvements in a ~~EXTENDED cDNAs FOR SECRETED PROTEINS~~, for which we have filed an application for Letters Patent in the United States, Application Serial No. 09/663,600, filed on September 15 2000.

AND WHEREAS, GENSET, S.A. (hereinafter "ASSIGNEE"), a French Corporation, with its principal place of business at 24, rue Royale, 75008 Paris, France, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

SIGNED this 16th day of March 2000


Jean-Baptiste Dumas, Milne Edwards

Attested by: Name: _____ Signature: _____

Application No.: 09/663,600
Filing Date: September 15 2000

Attorney Docket: 31.US3.CIP

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ASSIGNMENT

WHEREAS, I, Lydie Bougueleret, a French citizen, residing at 22, rue du chemin d'Onex, ^{1213, Switzerland} ~~108, avenue Victor Hugo,~~ ~~92170, Vanves, FRANCE,~~ am a co-inventor of certain new and useful improvements in a EXTENDED cDNAs FOR SECRETED PROTEINS; for which we have filed an application for Letters Patent in the United States, Application Serial No. 09/663,600, filed on September 15 2000.

AND WHEREAS, GENSET, S.A. (hereinafter "ASSIGNEE"), a French Corporation, with its principal place of business at 24, rue Royale, 75008 Paris, France, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

SIGNED this 29 day of January 2000.


Lydie Bougueleret

Attested by: Name: _____ Signature: _____

ASSIGNMENT

WHEREAS, I, Aymeric Duclert, a French citizen, residing at 6ter., rue, Victorine, 94100, Saint-Maur, FRANCE, am a co-inventor of certain new and useful improvements in a EXTENDED cDNAs FOR SECRETED PROTEINS; for which we have filed an application for Letters Patent in the United States, Application Serial No. 09/663,600, filed on September 15 2000.

AND WHEREAS, GENSET, S.A. (hereinafter "ASSIGNEE"), a French Corporation, with its principal place of business at 24, rue Royale, 75008 Paris, France, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

SIGNED this 18 day of January 200¹0.



Aymeric Duclert

Attested by: Name: _____ Signature: _____